PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Theodore Zhu et al.

Serial No.:

09/318,073

Filed:

May 25, 1

Examiner Unknown

Group Art Unit 2812

For:

LOCAL SHIELDING FOR MEMORY CELLS

Docket No.:

1016.1112101

Assistant Commissioner for Patents Washington, D.C. 20231

PRELIMINARY AMENDMENT

CERTIFICATE UNDER 37 C.F.R. 1.8: I hereby certify that this correspondence is being deposited with the United
States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to
CERTIFICATE UNDER 37 C.F.R. 1.8: I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Assistant Commissioner for Patents, Washington, D.C. 20231, on

Dear Sir:

Please amend the above-captioned patent application as follows:

RECEIVED

DEC 0 7 1999

In the Specification

TECHNOLOGY CENTER 2800

Please delete lines 3-5 on page 1.

At page 1, line 9, please replace ", which is" with f-and U.S. Patent Application Serial No.

08/993,009 filed December 18, 1997, entitled "SELF-ALIGNED WORDLINE AND METHOD OF

MANUFACTURE THEREFOR", which are--.

GAU2812

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TRANSMITTAL SHEET

Assistant Commissioner for Patents Washington, D.C. 20231

Sir:

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this day of December, 19 99

By_

Brian N. Tufte

We are transmitting herewith the attached:

ſ	1	Amendment

[] No additional fee required

The fee has been calculated as shown:

RECEIVED

DEC 0 7 1999

TECHNICL HEY CENTER THO

CLAIMS AS AMENDED							
	(3)	(4)	(5)	SMALL	ENTITY	OTHER	
	REMAINING CLAIMS	HIGHEST PAID	EXTRA	RATE	ADD'L FEE	RATE	ADD'L FEE
TOTAL CLAIMS	-	=		x9=	\$	x18=	\$
INDEPEN- DENT CLAIMS	-	. =		x39=	\$	x78=	\$
() FIRST MULTIPLE DEPENDENT CLAIM				+130=	\$	+260=	\$
TOTAL				\$		\$	

[]	A check in the amount of \$ is enclosed.				
[]	Small entity status of this application under 37 C.F.R. 1.9 and 1.27 has been established by verified statement previously submitted.				
[X]	Other: Preliminary Amendment				
					
[XX]	Please charge any deficiencies or credit any overpayment in the enclosed fees to Deposit Account No. 50-0413. By: Byian N. Tufte				
	Reg No. 38.638				

Brian N. Tufte CROMPTON, SEAGER & TUFTE, LLC 331 Second Avenue South Suite 895 Minneapolis, Minnesota 55401-2246

Telephone: (612) 677-9050 Facsimile: (612) 359-9349